

Policy History
<b>Policy No.</b> RS1
<b>Approving Jurisdiction:</b> Board of Governors
<b>Administrative Responsibility:</b> Provost and Vice President Academic
<b>Effective Date:</b> January 1, 2026

## Research Involving Humans Policy

### A. CONTEXT AND PURPOSE

KPU's strategic goals include: to foster cultural, social and environmental sustainability through our offerings, research and operations; to expand activity, funding, intensity and impact of research, scholarship and innovation partnerships; to foster teaching excellence and expand innovation in teaching, learning and curriculum; to foster decolonization and reconciliation with Indigenous peoples; advance anti-racism initiatives across KPU; advance equity, diversity, inclusion and accessibility across KPU; ensure continuous improvement of all KPU programs and services; and to be accountable and transparent to its friends, communities, partners, and governments.

KPU is committed to the ethical advancement of knowledge through scholarly activities, including through research involving humans. The purpose of this policy is to ensure that all research involving humans carried out under KPU's auspices comply with the Agreement on the Administration of Agency Grants and Awards by Research Institutions ("Tri-Agency Agreement").

As an institutional signatory to the Tri-Agency Agreement, KPU is required to comply with its requirements set out in the Tri-Agency Framework: Responsible Conduct of Research, as amended from time to time. Specific to research involving humans, the Agreement requires the following:

"The Institution shall, for all research involving humans carried out under its auspices:

1. comply with the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans, as amended;
2. establish, maintain and comply with its own policy and procedures, or have a formal agreement with another institution, that conform to the requirements set out in the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans;

3. ensure that Researchers are informed of their obligations under the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans and provided such training as they may reasonably require;
4. ensure, through the use of financial or other controls, that the Institution's research ethics board ("REB"), or an REB designated by the Institution, has approved the research project before research activities involving humans have commenced, and that REB approval is maintained as long as activities involving humans are carried out. Where appropriate controls are in place, all Grant funds may be released prior to (or pending) REB approval."

According to the TCPS Interpretation on Governance published by Panel on Research Ethics, the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans ("TCPS") requires that the highest governing body of the institution establish the REB (or REBs), define an appropriate reporting relationship with the REB (or REBs), and ensure that REBs have the necessary financial and administrative resources to fulfil their duties. For the highest governing body, a focus on the one that holds the highest administrative rather than academic responsibility is noted in the TCPS Interpretation on Governance. REBs are independent in their decision making and are accountable to the highest body that established them for the process of research ethics review (per Article 6.2 of TCPS).

## **B. SCOPE AND LIMITS**

This Policy applies to all research involving:

1. human participants,
2. human biological materials derived from individuals living or deceased, and/or
3. other human data not otherwise exempted under the TCPS, that is carried out under the auspices of KPU. This includes, but is not limited to, research conducted:
  - a. by KPU employees, students, or volunteers;
  - b. in affiliation with KPU;
  - c. using KPU equipment, space, or resources; and
  - d. in collaboration with other institutions, Indigenous peoples and communities, organizations, or partners, including while under the oversight of multiple REBs.

## C. STATEMENT OF POLICY PRINCIPLES

KPU requires research involving humans be conducted in accordance with the TCPS, including the following Core Principles (Chapter 1, TCPS) that underlie respect for human dignity as the minimal standard:

1. **Respect for Persons:** a recognition of the intrinsic value of human beings and the respect and consideration they are due.
2. **Concern for Welfare:** a requirement of researchers and REBs to aim to protect the welfare of participants, and, in some circumstances, to promote that welfare in view of any foreseeable risks associated with the research.
3. **Justice:** an obligation to treat people fairly and equitably.

The University recognizes that research involving Indigenous peoples requires distinct considerations, as outlined in TCPS Chapter 9, and as per the BC Declaration on the Rights of Indigenous Peoples Act. Accordingly, given its strategic goal of furthering decolonization and reconciliation with Indigenous peoples, KPU embraces a fourth principle as follows:

4. **Cultural Safety and Respect for Indigenous Peoples:** A commitment to recognizing and valuing the unique cultural identities, knowledge systems, and traditions of Indigenous peoples. This principle requires researchers and REBs to ensure that research involving Indigenous communities is conducted in a manner that is culturally safe, respectful, and inclusive, actively involving Indigenous peoples in the research process and addressing their specific needs and perspectives.

## D. DEFINITIONS

Refer to RS1 Research Involving Humans Procedure for a list of definitions in support of this policy.

## E. RELATED POLICIES, REFERENCES, AND LEGISLATION

### Related Policies

HR1 Conflict of Interest

IM4 Confidentiality

IM7 Management of Surveys

IM9 Information Security

RS2 Integrity in Research and Scholarship

RS3 Indirect Costs of Research

### **External Standards and References**

Tri-Agency Framework: Responsible Conduct of Research (RCR Framework), as updated. The current version referenced in this Policy and Procedure is the 2021 edition.

Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans (TCPS), as updated. Article and chapter references in this Policy and Procedure are with respect to the current edition which is Edition 2 (2022).

Pulling Together: A Guide for Researchers, *Hiłk'ala: A guide for Indigenization of post-secondary institutions. A professional learning series.* Dianne Biin; Deborah Canada; John Chenoweth; and Lou-ann Neel. BC campus, Victoria, B.C.

### **Committee and Board Documents**

REB Terms of Reference (under revision)

Terms of Reference for REB Chair and Vice Chair Nomination Committee (under development)

### **Legislation**

University Act, RSBC 1996 c 468

Declaration on the Rights of Indigenous Peoples Act, SBC 2019 c44

Freedom of Information and Protection of Privacy Act, RSBC 1996 c 165

### **Agreements**

1. Agreement on the Administration of Agency Grants and Awards by Research Institutions
2. Memorandum of Understanding Between Kwantlen Polytechnic University and the University of the Fraser Valley Concerning Research Ethics Board Appeals ("Appeals Agreement")
3. BC Research Ethics Review Reciprocity Agreement

### **Strategy Documents**

[Kwantlen Polytechnic University Research Data Management Strategy](#)

### **REB Guidance Documents, ORS Guidelines, and SOPs**

Guidance Documents: [Guidance Documents](#)

Guidelines and SOPs from the ORS: [Guidelines & SOPs from the ORS](#)

## **F. RELATED PROCEDURES**

RS1 Research Involving Humans